

Risk Stewardship Template

Risk Ref: Corporate Opportunity 8 (14 -15)		Date template updated: 10 March 2015	
<i>Cross reference the risk to the Corporate and Service Delivery Plan Objective to which it relates, only key risks that require monitoring will be recorded in the Corporate / Significant Risk Register.</i>			
Corporate Priorities / Service Delivery Objective / Project Objective :		Risk to all Council Plan Outcomes –	
		<ol style="list-style-type: none"> 1. OUR LOCAL COMMUNITIES ARE STRONG AND SUPPORTIVE 2. CHESHIRE EAST HAS A GROWING AND RESILIENT ECONOMY 3. PEOPLE HAVE THE LIFE SKILLS AND EDUCATION THEY NEED TO THRIVE 4. CHESHIRE EAST IS A GREEN AND SUSTAINABLE PLACE 5. LOCAL PEOPLE LIVE WELL AND FOR LONGER <p>❖ BE A LEADING, COMMISSIONING & RESPONSIBLE COUNCIL</p>	
<i>Risk description should include the cause of the impact and the consequence to the objective which might arise.</i>			
Identified Opportunity Description:			
<p>Public Sector Effort: Opportunity to ensure that a consensus approach and joint strategic planning by several Council partners reduces duplication of effort and ensures best use of resources in varying geographic areas, such that efforts are not contradictory and/or do not leave gaps and we maximise public resources such that the Council and its partners are better able to achieve intended objectives and outcomes. Current examples include: community safety, complex dependency, health and care integration, including key transformation programmes with other commissioning bodies and key health providers.</p>			
Comments:			
<p>The opportunity has interdependencies with:</p> <ul style="list-style-type: none"> CR1 –Political and Economic Environment CR2 – Managing Expectations CR15 – Protection of Children and Young People CR17 – Adult Social Care CR23 – Health Integration Programme 			
<i>Who owns and is accountable for the risk?</i>		<i>Who is responsible for taking forward the actions?</i>	
Risk Owner:		Risk Managed by:	
Chief Executive		Executive Director of Strategic Commissioning	
Strategic Lead:		Risk Status:	
Michael Jones, Leader of the Council		Enduring	
<i>Assess the combined risk of the likelihood and impact of the risk being realised before taking account of any controls in place to manage the risk. This is the gross risk score.</i>		Likelihood	x Impact
		2	3
		= Gross Risk Score	
		6	
<i>What controls are already in place to mitigate the risk? Controls could consist of authorisation and approval processes, governance arrangements and monitoring processes, physical controls, segregation of duties, organisational, personnel, management and supervisory controls or arithmetic and accounting controls. Where is the evidence for these controls kept?</i>			
Existing Controls and Evidence:			
<p>Strategic engagement in national, regional and sub-regional networks i.e. Sub Regional Management and Leaders Boards, Public Sector Transformation Board, Integrated health and care programmes, Pioneer Panel and also Health and Well Being Board.</p> <p>Considerable engagement underway on a number of work streams within the health and care transformation Boards (Caring Together and Connecting Care). This includes examining combining commissioning arrangements for integration, and reshaping front line delivery into integrated teams. A significant development is a commitment to introducing an Integrated Digital Care Record across two local authorities, four Clinical Commissioning Groups and four acute trusts. Additional work streams currently include urgent care and rapid response services to reduce pressures on acute trusts. Finally, success in the sub-region is securing a transformation bid from DCLG of £5m across three Local Authorities to:-</p> <ul style="list-style-type: none"> • establish a multi-agency approach to tackling complex dependencies across families and individuals in Cheshire and Warrington; 			

Risk Stewardship Template

<ul style="list-style-type: none"> improve outcomes for children, families and citizens and reduce costs implement the approach already agreed by all partners to maximise buy-in and commitment to transformation around the needs of the customer. 			
Assess the combined risk of the likelihood and impact of the risk being realised after taking account of the existing controls in place to manage the risk. This is the net risk score – as it is now.	Likelihood 4	x Impact 3	= Net Risk Score 12
Is the net risk now acceptable or not? Are there further reasonable controls or planned actions you can take to manage the risk down to an acceptable level? If not, consider the need for a contingency plan for what will happen if the risk is realised. Members of the Corporate Risk Management Group are responsible for ensuring that actions proposed to mitigate corporate and significant operational risks are sufficient and proportional to the risk identified.			
<p>Future Planned Actions / Contingency:</p> <p>Effective engagement in design of collaborative programmes including project and programme leadership through Programme Boards.</p> <p>Effective monitoring of ‘in flight’ programmes/projects</p> <p>Strong governance arrangements for oversight and accountability, to include clarity regarding risk share arrangements etc.</p> <p>Capacity and skills is currently being drawn from existing resources. This will be subject to ongoing review.</p>			
Next Review Date June 2015		Some risks require weekly or monthly monitoring, others will only need to be revisited following the proposed date for the completion of the planned action.	
The reason for monitoring key risks is to create an early warning system; risk registers should be regularly reviewed and amended. Questions asked during monitoring are: Is the risk still relevant? Is there any movement in the net risk score? Are the controls still in place and operating effectively? Has anything occurred which may change its impact and/or likelihood? Have any significant control failures or weaknesses occurred since the risk was last monitored? Is the risk increasing - do I need to devise more controls? Is the risk decreasing – can I relax existing controls?			
Monitoring Arrangements: Through quarterly reporting at Board Meetings.		Future Issues: Potential impact of change in Government or administration locally.	
Predict the combined risk of the likelihood and impact of the risk being realised after taking account of the existing and planned controls in place to manage the risk. This is the target risk score.	Likelihood 4	x Impact 4	= Target Score 16
<p>Comments</p> <p>The future financial context for local government will continue to require services to be funded and delivered differently. Maximum opportunities will continue to be sought to secure improved value from the totality of public resources available locally, as well as continuing to build upon the promotion of communities and individuals to be less reliant upon publicly funded services where appropriate. Future controls will be focussed on ensuring delivery and where there are problems we will know early and can take corrective action.</p>			