



Audit and Governance Committee

Agenda

Date: Thursday, 28th July, 2022
Time: 10.30 am
Venue: Committee Suite 1,2 & 3, Westfields, Middlewich Road, Sandbach CW11 1HZ

The agenda is divided into 2 parts. Part 1 is taken in the presence of the public and press. Part 2 items will be considered in the absence of the public and press for the reasons indicated on the agenda and at the top of each report.

It should be noted that Part 1 items of Cheshire East Council decision making meetings are audio recorded and the recordings will be uploaded to the Council's website

PART 1 – MATTERS TO BE CONSIDERED WITH THE PUBLIC AND PRESS PRESENT

1. **Apologies for Absence**

To receive any apologies for absence.

2. **Declarations of Interest**

To provide an opportunity for Members and Officers to declare any disclosable pecuniary and non-pecuniary interests in any item on the agenda.

3. **Public Speaking Time/Open Session**

In accordance with paragraphs 2.24 of the Council's Committee Procedure Rules and Appendix on Public Speaking a total period of 15 minutes is allocated for members of the public to put questions to the committee on any matter relating to this agenda. Each member of the public will be allowed up to two minutes each to speak, and the Chair will have discretion to vary this where they consider it appropriate.

Members of the public wishing to speak are required to provide notice of this at least three clear working days' in advance of the meeting and should include the question with that notice.

For requests for further information

Contact: Helen Davies

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E-Mail: Helen.davies@cheshireeast.gov.uk

4. **Minutes of Previous Meeting** (Pages 5 - 14)

To approve as a correct record the minutes of the meeting held on 26 May 2022.

5. **Audit & Governance Action Log** (Pages 15 - 18)

To consider the Audit & Governance Action Log.

6. **Member Code of Conduct** (Pages 19 - 58)

To consider the revised Code of Conduct which reflects the Local Government Association model Code of Conduct for elected members, and incorporates best practice recommendations from The Ethics Report which can be recommended to full Council for adoption.

7. **Covid-19 Report** (Pages 59 - 76)

To consider the Covid-19 Report.

8. **Draft Statement of Accounts** (Pages 77 - 94)

To receive a presentation on the Draft Statement of Accounts.

9. **Production of the Annual Governance Statement 2021/22** (Pages 95 - 100)

To receive an update on the production of the Annual Governance Statement (AGS) for 2021/22.

10. **Information Governance Report** (Pages 101 - 112)

To consider the progress made on the Information Assurance Programme during 2021/2022, and the future vision to support ongoing compliance.

11. **External Audit 2021/22 Progress Report** (Pages 113 - 146)

To receive a presentation from the External Auditors on the Audit Strategy Memorandum (ASM) for external audit 2021/22.

12. **Internal Audit Annual Report 2021/22** (Pages 147 - 176)

To consider details of the work undertaken by Internal Audit during 2021/22 and how this contributes to the Annual Opinion on the Council's control environment.

13. **Monitoring Officer Report** (Pages 177 - 200)

To consider the Monitoring Officer Report.

14. **Standing Item: Upheld Complaints to the Local Government Ombudsman** (Pages 201 - 220)

To consider a summary of the complaints received and considered by the Council during the period 1 April 2021 to 31 March 2022 (2021-2022).

15. **Standing Item: Work Programme 2021/22 and 2022/23** (Pages 221 - 226)

To consider the Committees Work Programme.

16. **Standing Item: Compliance with Contract Procedure Rules** (Pages 227 - 232)

To consider an update to the Audit and Governance Committee on the number of cases where, and reasons why, procurement activity has required the use of waivers and/or non-adherences (WARNs).

17. **Exclusion of the Press and Public**

The reports relating to the remaining items on the agenda have been withheld from public circulation and deposit pursuant to Section 100(B)(2) of the Local Government Act 1972 on the grounds that the matters may be determined with the press and public excluded.

The Committee may decide that the press and public be excluded from the meeting during consideration of the following items pursuant to Section 100(A)4 of the Local Government Act 1972 on the grounds that they involve the likely disclosure of exempt information as defined in Paragraphs 3 and 5 of Part 1 of Schedule 12A to the Local Government Act 1972 and public interest would not be served in publishing the information.

PART 2 – MATTERS TO BE CONSIDERED WITHOUT THE PUBLIC AND PRESS PRESENT

18. **Standing Item: Compliance with Contract Procedure Rules: PART TWO** (Pages 233 - 260)

To note the approved WARNs issued from 1st May 2022 to the 31th May 2022, plus two Waiver which were missed from the figures in the May Committee.

Membership: Councillors R Bailey, C Bulman, M Goldsmith, A Harewood, M Houston, P Redstone, M Sewart, M Simon (Chair) and J Nicholas (Vice-Chair)