

Audit and Governance Committee Agenda

Date: Thursday 12th March 2020
Time: 2.00 pm
Venue: Committee Suite 1,2 & 3, Westfields, Middlewich Road,
Sandbach CW11 1HZ

The agenda is divided into 2 parts. Part 1 is taken in the presence of the public and press. Part 2 items will be considered in the absence of the public and press for the reasons indicated on the agenda and at the foot of each report.

It should be noted that Part 1 items of Cheshire East Council decision making meetings are audio recorded and the recordings are uploaded to the Council's website

PART 1 – MATTERS TO BE CONSIDERED WITH THE PUBLIC AND PRESS PRESENT

1. **Apologies for Absence**
2. **Declarations of Interest**

To provide an opportunity for Members and Officers to declare any disclosable pecuniary and non-pecuniary interests in any item on the agenda.

3. **Public Speaking Time/Open Session**

In accordance with paragraph 2.32 of the Committee Procedural Rules and Appendix 7 to the Rules a total period of 10 minutes is allocated for members of the public to address the Committee on any matter relevant to the work of the body in question.

Individual members of the public may speak for up to 5 minutes but the Chairman or person presiding will decide how the period of time allocated for public speaking will be apportioned where there are a number of speakers. Members of the public are not required to give notice to use this facility. However, as a matter of courtesy, a period of 24 hours' notice is encouraged.

Members of the public wishing to ask a question at the meeting should provide at least three clear working days' notice in writing and should include the question with that notice. This will enable an informed answer to be given.

For requests for further information

Contact: Rachel Graves

Tel: 01270 686473

E-Mail: rachel.graves@cheshireeast.gov.uk with any apologies

4. **Minutes of Previous Meeting** (Pages 5 - 12)

To approve the minutes of the meeting held on 5 December 2019 as a correct record.

5. **Urgent Decision – General Dispensation** (Pages 13 - 20)

To report an urgent decision under paragraph 2.7 and Appendix 4 of the Committee and Sub Committee Procedural Rules.

6. **External Audit 2019/20 Progress and Update Report** (Pages 21 - 38)

To receive and consider the External Audit Progress and Update Report.

7. **Audit and Governance Committee Self Assessment** (Pages 39 - 54)

To consider the Self-Assessment and determine any required additions or amendments.

8. **Risk Management Framework Update** (Pages 55 - 82)

To consider and comment upon the updated Risk Management Framework and make a recommendation to Cabinet, and to note the update on the Corporate Risk Register.

9. **Internal Audit Interim Report October – December 2019** (Pages 83 - 100)

To consider and note the internal audit progress report, and approve the updated Internal Audit Charter.

10. **Draft Internal Audit Plan 2020/21** (Pages 101 - 122)

To consider and approve the Internal Audit Plan 2020/21.

11. **Revised RIPA Policy and Procedures** (Pages 123 - 146)

To consider and approve the proposed revised RIPA Policy and Procedures.

12. **Maladministration Decision Notices from Local Government and Social Care Ombudsman – September – November 2019** (Pages 147 - 162)

To consider the Decision Notices issued by the Local Government and Social Care Ombudsman when their investigations have found maladministration causing injustice to complainants.

13. **Committee Work Plan** (Pages 163 - 180)

To consider the Work Plan and determine any required amendments.

14. **Waivers and Non-Adherences** (Pages 181 - 186)

To consider and note the number and reason for 3 further WARNs approved between 31 October 2019 and 31 January 2020.

15. **Exclusion of the Press and Public**

The reports relating to the remaining item on the agenda has been withheld from public circulation and deposit pursuant to Section 100(B)(2) of the Local Government Act 1972 on the grounds that the matters may be determined with the press and public excluded.

Recommended that the press and public be excluded from the meeting during consideration of the following items pursuant to Section 100(A)4 of the Local Government Act 1972 on the grounds that they involve the likely disclosure of exempt information as defined in Paragraphs 1, 2 and 3 of Part 1 of Schedule 12A to the Local Government Act 1972 and public interest would not be served in publishing the information.

PART 2 - MATTERS TO BE CONSIDERED WITHOUT THE PUBLIC AND PRESS PRESENT

16. **Waivers and Non-Adherences**

To note the approved WARNs issued between 31 October 2019 and 31 January 2020.

Membership: Councillors R Bailey (Vice-Chairman), B Evans, R Fletcher (Chairman), S Handley, S Hogben, M Houston, A Kolker, D Marren, M Sewart and M Simon
Independent Co-opted Members: Mr P Gardener