

# Audit and Governance Committee

## Agenda

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**Date:** Thursday 3rd October 2019  
**Time:** 2.00 pm  
**Venue:** Committee Suite 1,2 & 3, Westfields, Middlewich Road,  
Sandbach CW11 1HZ

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### Membership

**Conservative Councillors:** R Bailey (Vice-Chairman), A Gregory, A Kolker and M Sewart  
**Labour Councillors:** S Handley, S Hogben and M Houston  
**Independent Group Councillors:** D Edwardes and B Evans  
**Liberal Democrat Councillor:** R Fletcher (Chairman)  
**Independent Co-opted Member:** Mr P Gardener

The agenda is divided into 2 parts. Part 1 is taken in the presence of the public and press. Part 2 items will be considered in the absence of the public and press for the reasons indicated on the agenda and at the foot of each report.

It should be noted that Part 1 items of Cheshire East Council decision making meetings are audio recorded and the recordings are uploaded to the Council's website

### **PART 1 – MATTERS TO BE CONSIDERED WITH THE PUBLIC AND PRESS PRESENT**

- 1. Apologies for Absence**
- 2. Declarations of Interest**

To provide an opportunity for Members and Officers to declare any disclosable pecuniary and non-pecuniary interests in any item on the agenda.

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**OFFICIAL**

For requests for further information

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3. **Public Speaking Time/Open Session**

In accordance with paragraph 2.32 of the Committee Procedural Rules and Appendix 7 to the Rules a total period of 10 minutes is allocated for members of the public to address the Committee on any matter relevant to the work of the body in question.

Individual members of the public may speak for up to 5 minutes but the Chairman or person presiding will decide how the period of time allocated for public speaking will be apportioned where there are a number of speakers. Members of the public are not required to give notice to use this facility. However, as a matter of courtesy, a period of 24 hours' notice is encouraged.

Members of the public wishing to ask a question at the meeting should provide at least three clear working days' notice in writing and should include the question with that notice. This will enable an informed answer to be given.

4. **Minutes of Previous Meeting** (Pages 5 - 12)

To approve the minutes of the meeting held on 30 July 2019 as a correct record.

5. **External Audit Update and Annual Audit Letter 2018/19** (Pages 13 - 42)

To receive the Annual Audit Letter for 2018/19 and the Audit Progress Report.

6. **Maladministration Decision Notices from Local Government and Social Care Ombudsman:** (Pages 43 - 50)

To consider and note a report on the Decision Notices issued by the Local Government and Social Care Ombudsman when their investigations have found maladministration causing injustice to complainants.

7. **Treasury Management Annual Report 2018/19** (Pages 51 - 66)

To receive the Treasury Management Annual Report for 2018/19.

8. **Annual Report of the Audit and Governance Committee 2018/19**  
(Pages 67 - 90)

To consider the draft version of the Audit and Governance Committee's Annual Report 2018/19 and agree the final version of the report to go to Council.

9. **Counter Fraud Update** (Pages 91 - 98)

To consider an update on the Council's arrangements to counter the threat of fraud and corruption.

10. **Committee Work Plan** (Pages 99 - 110)

To consider the forthcoming Work Plan for 2019/20, to ensure comprehensive coverage of the Committee's responsibilities.

11. **Contract Procedure Rule Non-Adherences** (Pages 111 - 116)

To receive an update on the quantity and reasons for non-adherences approved between the period 1 July 2019 and 31 August 2019.

12. **Exclusion of the Press and Public**

The reports relating to the remaining items on the agenda have been withheld from public circulation and deposit pursuant to Section 100(B)(2) of the Local Government Act 1972 on the grounds that the matters may be determined with the press and public excluded.

The Committee may decide that the press and public be excluded from the meeting during consideration of the following items pursuant to Section 100(A)4 of the Local Government Act 1972 on the grounds that they involve the likely disclosure of exempt information as defined in Paragraphs 1, 2 and 3 of Part 1 of Schedule 12A to the Local Government Act 1972 and public interest would not be served in publishing the information.

**PART 2 - MATTERS TO BE CONSIDERED WITHOUT THE PUBLIC AND PRESS PRESENT**

13. **Contract Procedural Rules Non-Adherences**

To note the approved WARNs issued between 1 July 2019 and 31 August 2019.