

Audit and Governance Committee Agenda

Date: Tuesday 30th July 2019
Time: 2.00 pm
Venue: Committee Suite 1,2 & 3, Westfields, Middlewich Road,
Sandbach CW11 1HZ

The agenda is divided into 2 parts. Part 1 is taken in the presence of the public and press. Part 2 items will be considered in the absence of the public and press for the reasons indicated on the agenda and at the top of each report.

It should be noted that Part 1 items of Cheshire East Council decision making meetings are audio recorded and the recordings are uploaded to the Council's website.

PART 1 – MATTERS TO BE CONSIDERED WITH THE PUBLIC AND PRESS PRESENT

1. **Apologies for Absence**
2. **Declarations of Interest**

To provide an opportunity for Members and Officers to declare any disclosable pecuniary and non-pecuniary interests in any item on the agenda.

3. **Public Speaking Time/Open Session**

In accordance with paragraph 2.32 of the Committee Procedural Rules and Appendix 7 to the Rules a total period of 10 minutes is allocated for members of the public to address the Committee on any matter relevant to the work of the body in question.

Individual members of the public may speak for up to 5 minutes but the Chairman or person presiding will decide how the period of time allocated for public speaking will be apportioned where there are a number of speakers. Members of the public are not required to give notice to use this facility. However, as a matter of courtesy, a period of 24 hours' notice is encouraged.

Members of the public wishing to ask a question at the meeting should provide at least three clear working days' notice in writing and should include the question with that notice. This will enable an informed answer to be given.

For requests for further information

Contact: Rachel Graves

Tel: 01270 686473

E-Mail: rachel.graves@cheshireeast.gov.uk with any apologies

4. **Minutes of Previous Meeting** (Pages 5 - 8)
To approve the minutes of the meeting held on 30 May 2019 as a correct record.
5. **External Audit Findings and Action Plan 2018/19**
To consider the report of Mazars, the external auditors, on their findings from the 2018/19 audit. *REPORT TO FOLLOW*
6. **Annual Report of the Monitoring Officer (2018/19)** (Pages 9 - 32)
To receive the Annual Monitoring Officer's Report for 2018/19.
7. **Maladministration Decision Notices from Local Government and Social Care Ombudsman: March – April 2019** (Pages 33 - 38)
To consider and note a report on the Decision Notices issued by the Local Government and Social Care Ombudsman when their investigations have found maladministration causing injustice to complainants.
8. **Annual Information Governance Update 2018/19** (Pages 39 - 44)
To receive an update on the Council's Information Assurance and Data Management programme.
9. **Internal Audit Annual Report 2018/19** (Pages 45 - 80)
To note and consider the Annual Internal Audit opinion on the overall adequacy and effectiveness of the Council's control environment for 2018/19.
10. **Annual Risk Management Report 2018/19** (Pages 81 - 98)
To receive an overview on the Council's risk management arrangements during 2018/19 and the planned work programme for 2019/20.
11. **Annual Report of the Audit and Governance Committee 2018/19**
(Pages 99 - 122)
To consider the draft version of the Audit and Governance Committee's Annual Report 2018/19 and agree the final version of the report to go to Council on 17th October 2019.
12. **Annual Governance Statement 2018/19**
To receive and approve the proposed final Annual Governance Statement 2018/19. *REPORT TO FOLLOW*

13. **Annual Statement of Accounts 2018/19**

To consider the Statement of Accounts 2018/19. *REPORT TO FOLLOW*

14. **Committee Work Plan** (Pages 123 - 136)

To consider the forthcoming Work Plan for 2019/20, to ensure comprehensive coverage of the Committee's responsibilities.

15. **Contract Procedure Rule Non-Adherences** (Pages 137 - 142)

To receive an update on the quantity and reasons for non-adherences approved between the period 1 May 2019 to 30 June 2019.

16. **Exclusion of the Press and Public**

The reports relating to the remaining items on the agenda have been withheld from public circulation and deposit pursuant to Section 100(B)(2) of the Local Government Act 1972 on the grounds that the matters may be determined with the press and public excluded.

The Committee may decide that the press and public be excluded from the meeting during consideration of the following items pursuant to Section 100(A)4 of the Local Government Act 1972 on the grounds that they involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 and public interest would not be served in publishing the information.

PART 2 - MATTERS TO BE CONSIDERED WITHOUT THE PUBLIC AND PRESS PRESENT

17. **Contract Procedure Rule Non-Adherences**

To note the approved WARNs issued between 1 May 2019 and 30 June 2019.