

Audit and Governance Committee

Agenda

Date: Thursday, 16th March, 2017
Time: 2.00 pm
Venue: Committee Suite 1,2 & 3, Westfields, Middlewich Road,
Sandbach CW11 1HZ

The agenda is divided into 2 parts. Part 1 is taken in the presence of the public and press. Part 2 items will be considered in the absence of the public and press for the reasons indicated on the agenda and at the foot of each report.

It should be noted that Part 1 items of Cheshire East Council decision making and Overview and Scrutiny meetings are audio recorded and the recordings will be uploaded to the Council's website.

PART 1 – MATTERS TO BE CONSIDERED WITH THE PUBLIC AND PRESS PRESENT

1. **Apologies for Absence**

2. **Declarations of Interest**

To provide an opportunity for Members and Officers to declare any disclosable pecuniary and non-pecuniary interests in any item on the agenda.

3. **Public Speaking Time/Open Session**

In accordance with Procedure Rules Nos.11 and 35 a total period of 10 minutes is allocated for members of the public to address the Committee on any matter relevant to the work of the body in question.

Individual members of the public may speak for up to 5 minutes but the Chairman will decide how the period of time allocated for public speaking will be apportioned where there are a number of speakers.

Members of the public wishing to ask a question at the meeting should provide at least three clear working days' notice in writing and should include the question with that notice. This will enable an informed answer to be given.

It is not required to give notice of the intention to make use of public speaking provision, however, as a matter of courtesy, a period of 24 hours notice is encouraged.

For requests for further information

Contact: Cherry Foreman

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E-Mail: cherry.foreman@cheshireeast.gov.uk with any apologies

4. **Minutes of Previous Meeting** (Pages 5 - 16)
To approve the minutes of the meeting held on 8 December 2016.
5. **Appointment of an Independent Member to the Audit and Governance Committee - Update** (Pages 17 - 18)
To approve the co-option of a candidate to become a member of the Committee.
6. **Grant Thornton 2016/17 Audit Plan for Cheshire East Council** (Pages 19 - 42)
To receive and comment on the Audit Plan for 2016/17.
7. **External Audit Risk Assessment for Cheshire East** (Pages 43 - 76)
To consider this report and managements response to Grant Thornton the Council's External Auditors.
8. **Risk Management Update** (Pages 77 - 84)
To consider this report on the most significant corporate risks.
9. **Members Code of Conduct Standards Report** (Pages 85 - 90)
To note the report for the period 1 September 2016 to 28 February 2017.
10. **Compliance with Data Protection Act (1988), Freedom of Information Act (2000) and Environmental Information Regulations (2004)** (Pages 91 - 96)
To note the performance of the Council in responding to requests for information, and also ongoing and future developments.
11. **Compliance with the Regulation of Investigatory Powers Act (2000) (RIPA)** (Pages 97 - 100)
To note the report on the number of applications received and the arrangements in place to ensure the Council complies with legislation.
12. **Information Governance Update**
To receive a presentation on the rise of global cyber threats, the number of malware attacks and the Council's Data Classification tool.

13. **Internal Audit Interim Report 2016/17** (Pages 101 - 106)

To consider progress against the Internal Audit Plan, revisions to it, and future audit issues.

14. **Internal Audit Plan 2016/17** (Pages 107 - 126)

To approve the Summary Internal Audit Plan for 2017/18.

15. **ASDV Governance Arrangements**

To receive a presentation on the arrangements in place to ensure that ASDV's are subject to appropriate governance, and that controls are in place to identify and manage risk.

16. **Work Plan 2016/17** (Pages 127 - 142)

To consider the Work Plan for the Committee.

17. **Waivers and Non Adherence Notifications** (Pages 143 - 150)

To receive an update on the quantity and reasons for the issue of WARNs approved between 1 November 2016 and 31 January 2017.

18. **Exclusion of the Press and Public**

The reports relating to the remaining items on the agenda have been withheld from public circulation and deposit pursuant to Section 100(B)(2) of the Local Government Act 1972 on the grounds that the matters may be determined with the press and public excluded.

The Committee may decide that the press and public be excluded from the meeting during consideration of the following items pursuant to Section 100(A)4 of the Local Government Act 1972 on the grounds that they involve the likely disclosure of exempt information as defined in Paragraphs 1 and 2 of Part 1 of Schedule 12A to the Local Government Act 1972 and public interest would not be served in publishing the information.

PART 2 – MATTERS TO BE CONSIDERED WITHOUT THE PUBLIC AND PRESS PRESENT

19. **Waivers and Non Adherence Notifications**

To note the approved WARNs issued between 1 November 2016 and 31 January 2017.