

# **Audit and Governance Committee**

## **Agenda**

---

**Date:** Thursday 8th December 2016  
**Time:** 2.00 pm  
**Venue:** Committee Suite 1,2 & 3, Westfields, Middlewich Road,  
Sandbach CW11 1HZ

---

The agenda is divided into 2 parts. Part 1 is taken in the presence of the public and press. Part 2 items will be considered in the absence of the public and press for the reasons indicated on the agenda and at the foot of each report.

It should be noted that Part 1 items of Cheshire East Council decision making and Overview and Scrutiny meetings are audio recorded and the recordings will be uploaded to the Council's website.

### **PART 1 – MATTERS TO BE CONSIDERED WITH THE PUBLIC AND PRESS PRESENT**

- 1. Apologies for Absence**
- 2. Declarations of Interest**

To provide an opportunity for Members and Officers to declare any disclosable pecuniary and non-pecuniary interests in any item on the agenda.

- 3. Public Speaking Time/Open Session**

In accordance with Procedure Rules Nos.11 and 35 a total period of 10 minutes is allocated for members of the public to address the Committee on any matter relevant to the work of the body in question.

Individual members of the public may speak for up to 5 minutes but the Chairman will decide how the period of time allocated for public speaking will be apportioned where there are a number of speakers.

---

For requests for further information

**Contact:** Cherry Foreman

**Tel:** 01270 686463

**E-Mail:** [cherry.foreman@cheshireeast.gov.uk](mailto:cherry.foreman@cheshireeast.gov.uk) with any apologies

Members of the public wishing to ask a question at the meeting should provide at least three clear working days' notice in writing and should include the question with that notice. This will enable an informed answer to be given.

It is not required to give notice of the intention to make use of public speaking provision, however, as a matter of courtesy, a period of 24 hours notice is encouraged.

4. **Minutes of Previous meeting** (Pages 5 - 12)

To approve as a correct record the minutes of the meeting held on 29 September 2016.

5. **Grant Thornton - Annual Audit Letter 2015/16** (Pages 13 - 34)

To receive and comment on the Annual Audit Letter for 2015/16

6. **Certification Report 2015/16** (Pages 35 - 38)

To receive and comment on the Certification letter

7. **Draft Treasury Management Strategy and Minimum Revenue Position Statement 2017/18** (Pages 39 - 64)

To receive and comment on the proposed Treasury Management Strategy and the Minimum Revenue Position Statement for 2017/18

8. **Risk Management Update** (Pages 65 - 80)

To receive an update on Risk Management

9. **Annual Governance Statement Update** (Pages 81 - 106)

To consider an update on the Annual Governance Statement

10. **Internal Audit Interim Report 2016/17 and Internal Audit Charter**  
(Pages 107 - 130)

To receive a report on progress against the Internal Audit Plan 2016/17 and the updated Internal Audit Charter

11. **Revising the Council's Code of Corporate Governance** (Pages 131 - 156)

To consider the update on the revision of the Council's Code of Corporate Governance

12. **Arrangements for the Appointment of External Auditors** (Pages 157 - 162)

To consider the arrangements for appointing External Auditors following the closure of the Audit Commission and the end of the transitional arrangements at the conclusion of the 2017/18 audits

13. **Appointment of an Independent Member to the Audit and Governance Committee**

To receive a verbal update

14. **Cardiff Checks – Feedback from Member/Officer Group** (Pages 163 - 166)

To receive an overview of the Cardiff Checks undertaken by Internal Audit and the Counter Fraud Member/Officer Sub Group during 2016

15. **Work Plan 2016/17** (Pages 167 - 178)

To consider the updated Work Plan

16. **Item Submitted by Member**

In accordance with Procedure Rule 34, Councillor Sam Corcoran has asked that the following item be included on this Agenda:

‘This Committee would like to support the companies wholly owned by Cheshire East Council and therefore suggests a review of the resourcing of internal audit and the terms of reference of the Committee to ascertain whether these allow the aspirations of the Committee to be met in this regard’

*Note: Procedure Rule 34 (agenda items submitted by Members) allows a Member of the Council, by notice given to the Monitoring Officer no later than ten clear working of days before the appropriate meeting, to request that an item of business be included on the agenda of a Committee or Sub-Committee.*

17. **Waivers and Non Adherence Notifications** (Pages 179 - 184)

To receive an update on the quantity and reasons for the issue of WARNs approved between 1 September and 31 October 2016.

**18. Exclusion of the Press and Public**

The reports relating to the remaining items on the agenda have been withheld from public circulation and deposit pursuant to Section 100(B)(2) of the Local Government Act 1972 on the grounds that the matters may be determined with the press and public excluded.

The Committee may decide that the press and public be excluded from the meeting during consideration of the following items pursuant to Section 100(A)4 of the Local Government Act 1972 on the grounds that they involve the likely disclosure of exempt information as defined in Paragraphs 1, 2 and 3 of Part 1 of Schedule 12A to the Local Government Act 1972 and public interest would not be served in publishing the information.

**PART 2 - MATTERS TO BE CONSIDERED WITHOUT THE PUBLIC AND PRESS PRESENT**

**19. Waivers and Non Adherence Notifications**

To note the approved WARNs issued between 1 September and 31 October 2016.