

Audit and Governance Committee

Agenda

Date: Thursday, 29th September, 2016
Time: 2.00 pm
Venue: Committee Suite 1,2 & 3, Westfields, Middlewich Road,
Sandbach CW11 1HZ

The agenda is divided into 2 parts. Part 1 is taken in the presence of the public and press. Part 2 items will be considered in the absence of the public and press for the reasons indicated on the agenda and at the foot of each report.

PART 1 – MATTERS TO BE CONSIDERED WITH THE PUBLIC AND PRESS PRESENT

1. **Apologies for Absence**

2. **Declarations of Interest**

To provide an opportunity for Members and Officers to declare any disclosable pecuniary and non-pecuniary interests in any item on the agenda.

3. **Public Speaking Time/Open Session**

In accordance with Procedure Rules Nos.11 and 35 a total period of 10 minutes is allocated for members of the public to address the Committee on any matter relevant to the work of the body in question.

Individual members of the public may speak for up to 5 minutes but the Chairman will decide how the period of time allocated for public speaking will be apportioned where there are a number of speakers.

Members of the public wishing to ask a question at the meeting should provide at least three clear working days' notice in writing and should include the question with that notice. This will enable an informed answer to be given.

It is not required to give notice of the intention to make use of public speaking provision, however, as a matter of courtesy, a period of 24 hours notice is encouraged.

For requests for further information

Contact: Cherry Foreman

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E-Mail: cherry.foreman@cheshireeast.gov.uk with any apologies

4. **Minutes of Previous meeting** (Pages 1 - 8)
To approve the minutes of the meeting held on 30 June 2016 as a correct record.
5. **External Audit Findings Report 2015/16** (Pages 9 - 56)
To consider the report of Grant Thornton, the external auditors, on their findings from the 2015/16 audit.
6. **2015/16 Audit Findings and Action Plan** (Pages 57 - 62)
To consider the management responses and action plan for the 2015/16 audit findings.
7. **Audited Statement of Account 2015/16** (Pages 63 - 64)
To consider the report and note the changes to the draft accounts in accordance with the audit findings report.
8. **Annual Governance Statement 2015/16** (Pages 65 - 106)
To approve the Annual Governance Statement for 2015/16.
9. **Annual Report of the Audit and Governance Committee 2015/16** (Pages 107 - 126)
To consider the committee's draft annual report for 2015/16 prior to it being considered at the Council meeting on 20 October 2016.
10. **Report on Customer Feedback 2015/16** (Pages 127 - 142)
To consider a report on the complaints, compliments and referrals to the Local Government Ombudsman for 2015/16.
11. **Compliance with the Regulation of Investigatory Powers Act (2000)** (Pages 143 - 146)
To note the findings and recommendations of the Inspector following an inspection by the Office of Surveillance Commissioners in May 2016.
12. **Treasury Management Annual Report** (Pages 147 - 164)
To consider a report on the performance of the Council's treasury management operation for 2015/16.

13. **Members' Code of Conduct: Standards Report** (Pages 165 - 170)

To consider a report on the number of reports received under the code of conduct for Members which have been considered by the Director of Legal Services, in his capacity as Monitoring Officer, and the Independent Person for the period 1 March 2016 to 31 August 2016.

14. **Appointment of an Independent Member to the Audit and Governance Committee**

An oral update will be given on progress in the recruitment process for appointing an independent member to the Committee.

15. **Localism Act 2011 - General Dispensations** (Pages 171 - 174)

To approve a number of general dispensations for elected and co-opted members for a period of four years.

16. **Committee Work Plan 2016/17** (Pages 175 - 188)

To consider the Work Plan and any amendments to it.

17. **Waivers and Non Adherence Notifications** (Pages 189 - 196)

To receive an update on the quantity and reasons for the issue of WARNs approved between 1 June and 31 August 2016.

18. **Exclusion of the Press and Public**

The reports relating to the remaining items on the agenda have been withheld from public circulation and deposit pursuant to Section 100(B)(2) of the Local Government Act 1972 on the grounds that the matters may be determined with the press and public excluded.

The Committee may decide that the press and public be excluded from the meeting during consideration of the following items pursuant to Section 100(A)4 of the Local Government Act 1972 on the grounds that they involve the likely disclosure of exempt information as defined in Paragraphs 1, 2 and 3 of Part 1 of Schedule 12A to the Local Government Act 1972 and public interest would not be served in publishing the information.

PART 2 – MATTERS TO BE CONSIDERED WITHOUT THE PUBLIC AND PRESS PRESENT

19. **Waivers and Record of Non Adherence Notifications**

To note the approved WARNs issued between 1 June and 31 August 2016.