

Audit and Governance Committee

Agenda

Date: Thursday, 30th June, 2016
Time: 2.00 pm
Venue: Committee Suite 1,2 & 3, Westfields, Middlewich Road,
Sandbach CW11 1HZ

The agenda is divided into 2 parts. Part 1 is taken in the presence of the public and press. Part 2 items will be considered in the absence of the public and press for the reasons indicated on the agenda and at the foot of each report.

PART 1 – MATTERS TO BE CONSIDERED WITH THE PUBLIC AND PRESS PRESENT

1. **Apologies for Absence**

2. **Declarations of Interest**

To provide an opportunity for Members and Officers to declare any disclosable pecuniary and non-pecuniary interests in any item on the agenda.

3. **Public Speaking Time/Open Session**

In accordance with Procedure Rules Nos.11 and 35 a total period of 10 minutes is allocated for members of the public to address the Committee on any matter relevant to the work of the body in question.

Individual members of the public may speak for up to 5 minutes but the Chairman will decide how the period of time allocated for public speaking will be apportioned where there are a number of speakers.

Members of the public wishing to ask a question at the meeting should provide at least three clear working days' notice in writing and should include the question with that notice. This will enable an informed answer to be given.

It is not required to give notice of the intention to make use of public speaking provision, however, as a matter of courtesy, a period of 24 hours notice is encouraged.

For requests for further information

Contact: Cherry Foreman

Tel: 01270 686463

E-Mail: cherry.foreman@cheshireeast.gov.uk with any apologies

4. **Minutes of Previous meeting** (Pages 1 - 8)

To approve as a correct record the minutes of the meeting held on 17 March 2016.

5. **External Audit Progress and update Report** (Pages 9 - 26)

To receive and comment on the report and the planned fee letter.

6. **Internal Audit Annual Report 2015/16** (Pages 27 - 48)

To consider the report, and the opinion on the Council's framework of risk management, control and governance.

7. **Draft Annual Governance Statement 2015/16** (Pages 49 - 86)

To consider and comment upon the draft Annual Governance Statement and to note that the final Statement will be considered by the Committee at its meeting in September.

8. **Draft Pre-Audit Statement of Accounts 2015/16** (Pages 87 - 92)

To note the summarised position of the accounts for the year ended 31 March 2016, based on a presentation to be given at the meeting, and also the dates in relation to the approval process.

9. **Whistleblowing Arrangements Update Report** (Pages 93 - 96)

To note the report and endorse the ongoing review.

10. **Risk Management Update Report** (Pages 97 - 100)

To consider the report and that progress on actions be considered at future Committee meetings.

11. **Cheshire East Lifelong Learning Sub-Contracting** (Pages 101 - 128)

To consider the report of the external auditor for the commissioning year 2015/16, and to note its opinion and recommendations for the future.

12. **Audit and Governance Committee Self Assessment** (Pages 129 - 152)

To consider the self assessment and any required amendments, endorse the actions arising, and note that a further report will be submitted to a future meeting of the Committee.

13. **Audit and Governance Committee Terms of Reference** (Pages 153 - 160)

To consider the Committee's current Terms of Reference and whether any changes would be desirable.

14. **Appointment of an Independent Member to the Audit and Governance Committee** (Pages 161 - 164)

To consider the arrangements for appointing an independent person to the Committee.

15. **Committee Work Plan 2016/17** (Pages 165 - 178)

To consider the Work Plan and any amendments to it.

16. **Item Submitted by Member**

In accordance with Procedure Rule 34, Councillor Sam Corcoran has asked that the following item be included on this Agenda:

'This Committee is aware of serious but anonymous allegations. Good governance requires that decisions are based on evidence not unsubstantiated allegations. If any person has any evidence of wrongdoing the Committee urges them to bring that evidence to the attention of the relevant authorities (including the Chairman and Members of this Committee).'

Note: Procedure Rule 34 (agenda items submitted by Members) allows a Member of the Council, by notice given to the Monitoring Officer no later than ten clear working of days before the appropriate meeting, to request that an item of business be included on the agenda of a Committee or Sub-Committee.

17. **Waivers and Non Adherences** (Pages 179 - 184)

To note the quantity of WARNs, and the reasons for their issue, for the period 1 February to 31 May 2016.

18. **Exclusion of the Press and Public**

The reports relating to the remaining item on the agenda have been withheld from public circulation and deposit pursuant to Section 100(B)(2) of the Local Government Act 1972 on the grounds that the matter may be determined with the press and public excluded.

The Committee may decide that the press and public be excluded from the meeting during consideration of the following item pursuant to Section 100(A)4 of the Local Government Act 1972 on the grounds that it involves the likely disclosure of exempt information as defined in Paragraphs 1 2 and 3 of Part 1 of Schedule 12A to the Local Government Act 1972 and public interest would not be served in publishing the information.

PART 2 – MATTERS TO BE CONSIDERED WITHOUT THE PUBLIC AND PRESS PRESENT

19. **Waivers and Record of Non Adherence Notifications**

To note the approved WARNs issued between 1 February and 31 May 2016.